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CERTIFICATE OF MAILING

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Date: April 27, 2005


Monica Mehta

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OFFICE 90105



PATENT
401720-001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: CHIH-WEI HUANG

Serial No.: 09/970,736

Filed: October 3, 2001

Title: SYSTEM AND METHOD FOR
MEASURING PERFORMANCE OF TRADING
INSTRUMENTS WITHIN A MARKET

Art Unit: 2164

Examiner:

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with 37 C.F.R. § 1.56, Applicant submits herewith the attached Supplemental Information Disclosure Statement Form PTO-1449 in connection with the above-identified patent application along with a copy of each reference (1). The enclosed reference was cited in an International Search Report (copy enclosed) in a related PCT application. The statement is not a representation that all of the information cited is necessarily effective as prior art against the application.

Serial No. 09/970,736
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Page 2

Applicant respectfully submits that no fee is necessary for the filing of this information disclosure statement since, pursuant to 37 C.F.R. § 1.97(e)(1):

Each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three month prior to the filing of this information disclosure statement.

Should the Commissioner determine otherwise, the Commissioner is authorized to charge Deposit Account No. 50-0639 for any fee shortages.

Date: April 27, 2005

Respectfully submitted,



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| FORM PTO-1449 (MODIFIED) | ATTY. DOCKET NO. 401,720-001 | SERIAL NO. 09/970,736 |
| LIST OF PATENTS AND PUBLICATIONS FOR APPLICANTS INFORMATION DISCLOSURE STATEMENT (USE SEVERAL SHEETS IF NECESSARY) | APPLICANT: Chih-Wei HUANG | |
| | FILING DATE: October 3, 2001 | GROUP: 2164 |

Reference Designation U.S. Patents

| Examiner Initial | Document Number | Date | Name | Class | Filing Date Subclass if appropriate |
|---------------------|-----------------|----------|--------------|-------|-------------------------------------------|
| | AA 6,012,042 | 01/04/00 | Black et al. | | |
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FOREIGN PATENT DOCUMENTS

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OTHER ART (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)

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EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.